

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 2-2005)

ATTORNEY'S DOCKET NUMBER

SAIT-4396

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/551907**INTERNATIONAL APPLICATION NO.  
PCT/JP2004/004906INTERNATIONAL FILING DATE  
05 April 2004PRIORITY DATE CLAIMED  
03 April 2003

TITLE OF INVENTION

TELEPHONE TRANSMITTER/RECEIVER

APPLICANT(S) FOR DO/EO/US

Mikio Fukuda et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. **EV 705710195 US**
23. ☐ Other items or information:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |              |  |            |  |           |
|--|--------------|--|------------|--|-----------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/551907</b>  |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP2004/004906</b>              |            | ATTORNEY'S DOCKET NUMBER<br><b>SAIT-4396</b> |           |
| The following fees are submitted:  |              |  |            | <b>CALCULATIONS PTO USE</b>                  |           |
| 24. <input checked="" type="checkbox"/> Basic national fee .....   |              | \$300  |            | \$   | \$300.00  |
| 25. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). ....  |              | \$100  |            | \$   | \$200.00  |
| All other situations. ....   |              | \$200  |            |  |           |
| 26. <input checked="" type="checkbox"/> Search fee .....   |              |  |            |  |           |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....  |              | \$100  |            |  |           |
| International Search Report prepared and provided to the Office .....  |              | \$400  |            |  |           |
| All other situations. ....   |              | \$500  |            | \$   | \$400.00  |
| <b>TOTAL OF 24, 25 and 26 =</b>  |              |  |            | \$   | \$900.00  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |  |            |  |           |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) |            | RATE   |           |
| - 100 =  | 0 /50 =      | 0  |            | x \$250.00                                   | \$ \$0.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            | \$   |           |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE       |  |           |
| Total claims   | 17 - 20 =    | 0  | x \$50.00  | \$   | \$0.00    |
| Independent claims   | 1 - 3 =      | 0  | x \$200.00 | \$   | \$0.00    |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00  |              |  |            | \$   | \$0.00    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |  |            | \$   | \$900.00  |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |  |            | \$   | \$450.00  |
| <b>SUBTOTAL =</b>  |              |  |            | \$   | \$450.00  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |            | \$   | \$0.00    |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |            | \$   | \$450.00  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |              |  |            | \$   | \$40.00   |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |            | \$   | \$490.00  |
|  |              |  |            | Amount to be                                 | \$        |
|  |              |  |            | Amount to be                                 | \$        |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>\$490.00</u> to cover the above fees is enclosed.  |              |  |            |  |           |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.   |              |  |            |  |           |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0513</u> . A duplicate copy of this sheet is enclosed.                                |              |  |            |  |           |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.               |              |  |            |  |           |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |  |            |  |           |
| SEND ALL CORRESPONDENCE TO:  |              |  |            |  |           |
| Arlen L. Olsen<br>Schmeiser, Olsen & Watts<br>3 Lear Jet Lane, Suite 201<br>Latham, N.Y. 12110   |              |  |            |  |           |
|  |              |  |            | SIGNATURE                                    |           |
|  |              |  |            | Arlen L. Olsen                               |           |
|  |              |  |            | NAME   |           |
|  |              |  |            | 37,543                                       |           |
|  |              |  |            | REGISTRATION NUMBER                          |           |

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Fukuda et al.

Docket No.

SAIT-4396

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

10/551907

5409

Invention: TELEPHONE TRANSMITTER/RECEIVER

I hereby certify that the following correspondence:

Application under 35 U.S.C. 371, and Corresponding Paperwork

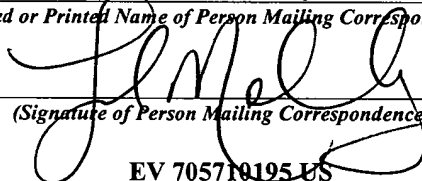
*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

10-3-05

*(Date)*

Lisa A. Molloy

*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*

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